



Meeting: Audit Committee

Members: Councillors and Independent Co-opted Members (non-voting) Cliff Lunn (Chair), Alyson Baker, Philip Broadbank, Mark Crane, George Jabbour (Vice-Chair), Karin Sedgwick, Neil Swannick, Peter Wilkinson, Felicity Cunliffe-Lister, Mr David Marsh and Mr David Portlock

Date: Monday, 11th December, 2023

Time: 1.30 pm

Venue: Brierley Room, County Hall, Northallerton

Members of the public are entitled to attend this meeting as observers for all those items taken in open session. Please contact the Democratic Services Officer whose details are at the foot of the first page of the Agenda if you would like to find out more.

This meeting is being held as an in-person meeting. The meeting is also 'hybrid', which enables people to attend the meeting remotely using MS Teams. Please contact the Democratic Services Officer whose details are at the foot of the first page of the Agenda if you would like to find out more.

Recording is allowed at Council, committee and sub-committee meetings which are open to the public, please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Democratic Services Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk/>

AGENDA

- 1. Apologies for Absence and Notification of Substitutes**
- 2. Minutes of the Meeting held on 23 October 2023** (Pages 5 - 12)
To approve these minutes.
- 3. Declarations of Interest**
All Members are invited to declare at this point any interests they have in items appearing on this agenda, including the nature of those interests.
- 4. Public Participation**
Members of the public may ask questions or make statements at this meeting if they

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have given notice (including the text of the question/statement) to Paul Preston of Democratic Services (contact details below) by midday on Wednesday 6th December, 2023. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- At this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes).
- When the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chair who will instruct anyone who may be taking a recording to cease while you speak.

- 5. Progress on Issues Raised by the Committee** (Pages 13 - 14)
Joint report of the Corporate Director – Resources and the Assistant Chief Executive (Legal and Democratic Services).
- 6. Procurement and Contract Management Update** (Pages 15 - 22)
Report of the Corporate Director, Resources.
- 7. Commercial Governance Review** (Pages 23 - 34)
Report of the Corporate Director, Resources.
- 8. Hambleton District Council Audit Results Report - Year Ending 31 March 2020** (Pages 35 - 84)
Report of the external auditors, Ernst & Young PLC.
- 9. 2022/23 Audit for North Yorkshire Council - Update**
Verbal report of the external auditors, Deloitte.
- 10. North Yorkshire Pension Fund - update**
Verbal report of the external auditors, Deloitte.
- 11. Annual Audit Plan - NYC & NYPF** (Pages 85 - 118)
Report of the external auditors, Mazars.
- 12. Risk Management - Progress Report** (Pages 119 - 142)
Report of the Corporate Director – Resources.
- 13. Risk Register for Health and Adults Services Directorate** (Pages 143 - 168)
Report of the Corporate Director, Health and Adult Services.
- 14. Risk Register - Community Development Directorate** (Pages 169 - 190)
Report of the Corporate Director, for Community Development.
- 15. Business Continuity - Annual Report** (Pages 191 - 196)
Report of the Assistant Chief Executive, Local Engagement.

- 16. Consultation on Proposals for a new long term contract for internal audit services** (Pages 197 - 200)
Report of the Corporate Director – Resources.
- 17. Audit Committee Terms of Reference / Review of Effectiveness** (Pages 201 - 210)
Report of the Corporate Director, Resources.
- 18. Motion from Full Council referred to the Audit Committee** (Pages 211 - 214)
Report of the Chair, Councillor Cliff Lunn.
- 19. Programme of Work** (Pages 215 - 216)
Report of the Corporate Director - Resources
- 20. Any other Items**
Any other items which the Chair agrees should be considered as a matter of urgency because of special circumstances.
- 21. Date of Next Meeting**
18 March,2024 at 1.30pm.

Members are reminded that in order to expedite business at the meeting and enable Officers to adapt their presentations and address areas causing difficulty, they are encouraged to contact Officers prior to the meeting with questions on technical issues in reports.

Contact Details:

Paul Preston

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Barry Khan

Assistant Chief Executive

(Legal and Democratic Services)

County Hall

Northallerton

Friday, 1 December 2023